PTO/SB/21 (modified) Approved for use through xx/xx/xx, OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Department of Commerce Application Number 10/612.198 Patent and Trademark Office Filing Date July 1, 2003 TRANSMITTAL FORM First Named Inventor Carey Nachenberg (to be used for all correspondence during pendency of Group Art Unit Number Not vet known filed application) Examiner Name Not vet known Total Number of Pages in This Submission Attorney Docket Number 20423-07775 **ENCLOSURES** (check all that apply) Fee Transmittal Form (in duplicate) Issue Fee Transmittal Check Enclosed Letter to Chief Draftsperson Return Receipt Postcard Formal Drawing(s): Response to Notice to File Missing Parts [] Sheet(s) of Figure(s) [] Assignment & Recordation Cover Sheet Appeal Communication to Board of Appeals and Interferences Declaration Power of Attorney Appeal Communication to Group Application Data Sheet (Appeal Notice, Brief, Reply Brief) ☐ Information Disclosure Statement & PTO/SB/08A (in Certified Copy of Priority Document(s) duplicate) Copies of IDS Cited References A-F After Allowance Communication to Group Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment/Response: [] Page(s) After Final Status Request Revocation and Substitute Power of Attorney REMARKS: *Does not include cited reference pages. SIGNATURE OF ATTORNEY OR AGENT Signature: 9/15/03 Attorney/Reg. No.: Jeffrey Brill, Reg. No. 51,198 Dated: CERTIFICATE OF MAILING I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

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9/15/03 20423/07775/DOCS/1376925.1

Dated:



IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Carey Nachenberg and Frank Barajas

SERIAL NO.: 10/612,198

FILING DATE: July 1, 2003

TITLE: Real-Time Training For A Computer Code Intrusion Detection

System

EXAMINER: not yet known

GROUP ART UNIT: not yet known

ATTY. DKT. NO.: 20423-07775

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INFORMATION DISCLOSURE STATEMENT

SIR:

Pursuant to the provisions of 37 CFR. 1.56 and 1.97-1.98, Applicants hereby cite the references listed on the accompanying PTO-SB/08A without inferring or suggesting, but instead expressly disclaiming any inference or suggestion, that any more pertinent reference exists.

Applicants also enclose herewith copies of the cited references, which are in the English language.

The Commissioner is authorized to charge any fees required in connection with the submission of this IDS to deposit account 19-2555. This sheet is being submitted in duplicate.

Consideration of this listed reference and a Notice of Allowance are respectfully requested.

Respectfully submitted,

Jeffrey Brill

ants

9/15/03

Attorney for Applicants Reg. No. 51,198

Fenwick & West LLP Silicon Valley Center 801 California Street Mountain View, CA 94041 (650) 335-7291

enclosures

cc: Edward J. Radlo (w/ just PTO-SB/08A)

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				Art Unit	not yet known	
				Examiner Name	not yet known	
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			U.S. PATE	NT DOCUMENTS					
	T	Document No.							
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document					
	Α	US 2003/0037251 A1	02-20-2003	Frieder et al.					
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	С	US 2002/0083343 A1	06-27-2002	Crosbie et al.					
	D	US 6,314,409 B2	11-06-2001	Schneck et al.					
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			FOREIGN PA	TENT DOCUMENTS					
	7	Foreign Patent Document							
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Td				
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Examiner Initials*	Cite No.1	Include name of the author journal, serial, symposi	r (in CAPITAL LETTER um, catalog, etc.), date	 itile of the article (when appropriate), title of the item (book, magazine, page(s), volume-issue number(s), publisher, city and/or country where published 	T ^a				
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	1	Department of Computer Science, University of California at Davis; October 1, 1999; pp. 1-18.							
	F	Lee, Sin Yeung, Low, Wai Lup, and Wong, Pei Yuen; Learning Fingerprints For A Database Intrusion Detection System;							
	 	Computer Security Labora	ory, DSO National Lab	oratories, Singapore; November 2002; pp. 264-279.	-				
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Examiner Signature	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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